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December 12, 2001

BOX: MISSING PARTS

Commissioner for Patents

Washington, D.C. 20231

Re: Inventor: Cleghorn et al.  
U.S. Patent Application for SYSTEM AND METHOD FOR PROCESSING  
DATABASE QUERIES  
Serial No.: 09/964,954  
Filing Date: September 27, 2001  
Our File No.: 125310-1000

Dear Sir:

In regard to the above-referenced matter, enclosed for filing please find the following items:

- (1) Response to Notice to File Missing Parts (2 pp);
- (2) Copy of Notice to File Missing Parts (2 pp);
- (3) Declaration/Power of Attorney (3 pp);
- (4) (4) sheets of formal drawings;
- (5) Check in the amount of \$65.00 to cover surcharge fee; and
- (6) Post Card.

Please file the above documents and return the date-stamped postcard to our office at the address listed above. Applicant respectfully submits that no additional fees are due in excess of the enclosed check. If this is incorrect, the Commissioner is hereby authorized to charge any fees that may be required by this paper to Deposit Account 07-0153. In the meantime, if you have any questions or comments concerning this matter, please call the undersigned at your earliest convenience. Otherwise, please accept the enclosed.

Respectfully submitted,

A handwritten signature in black ink.

Daniel J. Chalker  
Reg. No. 40,552  
DJC:klt

Express Mail No.: EK539125209US

Date of Deposit: December 12, 2001

I certify that the accompanying paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: BOX: MISSING PARTS, Commissioner for Patents, Washington, DC 20231

A handwritten signature in black ink.

Karen L. Thiesse

DALLAS 1077530v1

GARDERE WYNNE SEWELL LLP

3000 Thanksgiving Tower, 1601 Elm Street, Dallas, Texas 75201-4761 ■ 214.999.3000 Phone ■ 214.999.4667 Fax

Attorney Docket No. 125310-1000

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application: Cleghorn et al.  
Serial No. 09/964,954  
Filed: September 27, 2001  
Art Unit: 2171

Express Mail No.: EK539125209US

Date of Deposit: December 12, 2001  
I certify that the accompanying paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to BOX: MISSING PARTS, Commissioner for Patents, Washington, DC 20231

Karen L. Thiesse

Karen L. Thiesse

For: SYSTEM AND METHOD FOR PROCESSING DATABASE QUERIES

BOX: MISSING PARTS  
Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

**RESPONSE TO**  
**NOTICE TO FILE MISSING PARTS OF APPLICATION**

In response to the Notice to File Missing Parts of Application mailed October 24, 2001, a copy of which is returned herewith, Applicant respectfully submits this response.

**Declaration**

As required by the Notice of Missing Parts of Application, Applicant hereby encloses an executed Declaration.

**Substitution of Formal Drawings**

Pursuant to the requirement of 37 CFR 1.84, enclosed please find four (4) replacement sheets of drawings.

**Required Fees**

Submitted herewith is a check in the amount of \$65.00 to cover the surcharges set forth in  
37 C.F.R. § 1.16(e).

**Conclusion**

Applicant respectfully submits that all required parts for the application have now been filed. If a check is inadvertently omitted or any amount is incorrect, the Commissioner is hereby authorized to deduct or credit any necessary fee to GARDERE WYNNE SEWELL LLP Deposit Account No. 07-0153.

Respectfully submitted,



Daniel J. Chalker  
Registration No. 40,552

Dated: December 12, 2001

GARDERE WYNNE SEWELL LLP  
1601 Elm, Suite 3000  
Dallas, TX 75201  
(214) 999-4785  
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ATTORNEY FOR APPLICANT

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## UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT &amp; TRADEMARK OFFICE

COMMISSIONER FOR PATENTS

UNITED STATES PATENT AND TRADEMARK OFFICE

WASHINGTON, D.C. 20231

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/964,954	09/27/2001	Monica Rose Cleghorn	125310-1000

## CONFIRMATION NO. 2550

## FORMALITIES LETTER



OC000000006960863\*

Daniel J. Chalker  
 Gardere Wynne Sewell, LLP  
 3000 Thanksgiving Tower  
 1601 Elm Street, Suite 3000  
 Dallas, TX 75201-4767

Date Mailed: 10/24/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

*gd*  
PART 2 - COPY TO BE RETURNED WITH RESPONSE